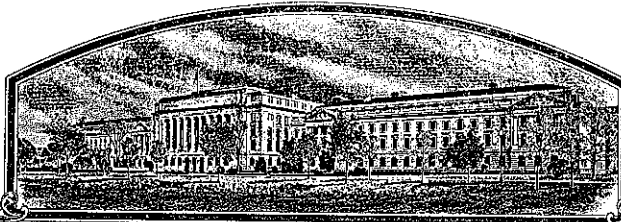


No.

9500005



# THE UNITED STATES OF AMERICA

TO ALL TO WHOM THESE PRESENTS SHALL COME:

*Genecorp, Inc.*

Whereas, THERE HAS BEEN PRESENTED TO THE

Secretary of Agriculture

AN APPLICATION REQUESTING A CERTIFICATE OF PROTECTION FOR AN ALLEGED DISTINCT VARIETY OF SEXUALLY REPRODUCED, OR TUBER PROPAGATED, PLANT, THE NAME AND DESCRIPTION OF WHICH ARE CONTAINED IN THE APPLICATION AND EXHIBITS, A COPY OF WHICH IS HEREUNTO ANNEXED AND MADE A PART HEREOF, AND THE VARIOUS REQUIREMENTS OF LAW IN SUCH CASES MADE AND PROVIDED HAVE BEEN COMPLIED WITH, AND THE TITLE THERETO IS, FROM THE RECORDS OF THE PLANT VARIETY PROTECTION OFFICE, IN THE APPLICANT(S) INDICATED IN THE SAID COPY, AND WHEREAS, UPON DUE EXAMINATION MADE, THE SAID APPLICANT(S) IS (ARE) ADJUDGED TO BE ENTITLED TO A CERTIFICATE OF PLANT VARIETY PROTECTION UNDER THE LAW.

NOW, THEREFORE, THIS CERTIFICATE OF PLANT VARIETY PROTECTION IS TO GRANT UNTO THE SAID APPLICANT(S) AND THE SUCCESSORS, HEIRS OR ASSIGNS OF THE SAID APPLICANT(S) FOR THE TERM OF TWENTY YEARS FROM THE DATE OF THIS GRANT, SUBJECT TO THE PAYMENT OF THE REQUIRED FEES AND PERIODIC REPLENISHMENT OF VIABLE BASIC SEED OF THE VARIETY IN A PUBLIC REPOSITORY AS PROVIDED BY LAW, THE RIGHT TO EXCLUDE OTHERS FROM SELLING THE VARIETY, OR OFFERING IT FOR SALE, OR REPRODUCING IT, OR STOCKING IT, OR EXPORTING IT, OR CONDITIONING IT FOR PROPAGATION, OR STOCKING IT FOR ANY OF THE ABOVE PURPOSES, OR USING IT IN PRODUCING A HYBRID OR DIFFERENT VARIETY THEREFROM, TO THE EXTENT PROVIDED BY THE PLANT VARIETY PROTECTION ACT. IN THE UNITED STATES SEED OF THIS VARIETY (1) SHALL BE IDENTIFIED BY THE VARIETY NAME ONLY AS A CLASS OF CERTIFIED SEED AND (2) SHALL CONFORM TO THE NUMBER OF GENES SPECIFIED BY THE OWNER OF THE RIGHTS. (84 STAT. 1542, AS AMENDED, 7 U.S.C. 2321 ET SEQ.)

SOYBEAN

'HT331 STS'

In Testimony Whereof, I have hereunto set my hand and caused the seal of the Plant Variety Protection Office to be affixed at the City of Washington, D.C. this thirtieth day of June in the year of our Lord one thousand nine hundred and ninety-seven.

Attest:

*Marsha A. Stanton*  
Commissioner  
Plant Variety Protection Office  
Agricultural Marketing Service

*Don Ichikawa*  
Secretary of Agriculture

U.S. DEPARTMENT OF AGRICULTURE  
AGRICULTURAL MARKETING SERVICE

**APPLICATION FOR PLANT VARIETY PROTECTION CERTIFICATE**

(Instructions on reverse)

Application is required in order to determine if a plant variety protection certificate is to be issued (7 U.S.C. 2421). Information is held confidential until certificate is issued (7 U.S.C. 2426).

1. NAME OF APPLICANT(S) (as it is to appear on the Certificate) <b>GENECORP, INC.</b>		2. TEMPORARY DESIGNATION OR EXPERIMENTAL NO. <b>C89-0061</b>	3. VARIETY NAME <b>HT331 STS</b>
4. ADDRESS (street and no. or R.F.D. no., city, state, and ZIP) <b>205 N Michigan Oxford, IN 47971</b>		5. PHONE (Include area code) <b>317-385-2201</b>	<b>FOR OFFICIAL USE ONLY</b> PVPO NUMBER <b>9500005</b> Date <b>Oct. 13, 1994</b> Time <input type="checkbox"/> A.M. <input type="checkbox"/> P.M. Filing and Examination Fee: <b>\$ 2,325.00</b> Date <b>Oct. 13, 1994</b> Certificate Fee: <b>\$ 300.00</b> Date <b>May 23, 1997</b>
6. GENUS AND SPECIES NAME <b>Glycine max</b>	7. FAMILY NAME (Botanical) <b>Leguminosae</b>		
8. CROP KIND NAME (Common Name) <b>Soybean</b>	9. DATE OF DETERMINATION <b>October 1989</b>		
10. IF THE APPLICANT NAMED IS NOT A "PERSON," GIVE FORM OF ORGANIZATION (Corporation, partnership, association, etc.) <b>Corporation</b>			
11. IF INCORPORATED, GIVE STATE OF INCORPORATION <b>California</b>		12. DATE OF INCORPORATION <b>March 16, 1982</b>	
13. NAME AND ADDRESS OF APPLICANT REPRESENTATIVE(S), IF ANY, TO SERVE IN THIS APPLICATION AND RECEIVE ALL PAPERS <b>Alan K. Walker Ph: 608-755-1777 Valarie Oostindie</b> <b>5926 E US HWY 14 205 N Michigan</b> <b>Janesville, WI 53546-8655 Oxford, IN 47971</b>			

PHONE (Include area code):

14. CHECK APPROPRIATE BOX FOR EACH ATTACHMENT SUBMITTED (Follow INSTRUCTIONS on reverse)

a. ☒ Exhibit A, Origin and Breeding History of the Variety.

b. ☒ Exhibit B, Novelty Statement.

c. ☒ Exhibit C, Objective Description of Variety.

d. ☒ Exhibit D, Additional Description of Variety.

e. ☒ Exhibit E, Statement of the Basis of Applicant's Ownership.

f. ☒ Seed Sample (2,500 viable untreated seeds). Date Seed Sample mailed to Plant Variety Protection Office \_\_\_\_\_

g. ☒ Filing and Examination Fee (\$2,150) made payable to "Treasurer of the United States."

15. DOES THE APPLICANT(S) SPECIFY THAT SEED OF THIS VARIETY BE SOLD BY VARIETY NAME ONLY AS A CLASS OF CERTIFIED SEED? (See section 83(a) of the Plant Variety Protection Act.)  
☒ YES (If "YES," answer items 16 and 17 below) ☐ NO (If "NO," skip to item 18 below)

16. DOES THE APPLICANT(S) SPECIFY THAT THIS VARIETY BE LIMITED AS TO NUMBER OF GENERATIONS?  
☐ YES ☒ NO

17. IF "YES" TO ITEM 16, WHICH CLASSES OF PRODUCTION BEYOND BREEDER SEED?  
☐ FOUNDATION ☐ REGISTERED ☐ CERTIFIED

18. DID THE APPLICANT(S) PREVIOUSLY FILE FOR PROTECTION OF THE VARIETY IN THE U.S.?  
☐ YES (If "YES," through ☐ Plant Variety Protection Act ☐ Patent Act. Give date: \_\_\_\_\_)  
☒ NO

19. HAS THE VARIETY BEEN RELEASED, USED, OFFERED FOR SALE, OR MARKETING IN THE U.S. OR OTHER COUNTRIES?  
☐ YES (If "YES," give names of countries and dates)  
☒ NO

20. The applicant(s) declare(s) that a viable sample of basic seeds of this variety will be furnished with the application and will be replenished upon request in accordance with such regulations as may be applicable.

The undersigned applicant(s) is (are) the owner(s) of this sexually reproduced novel plant variety, and believe(s) that the variety is distinct, uniform, and stable as required in section 41, and is entitled to protection under the provisions of section 42 of the Plant Variety Protection Act.

Applicant(s) is (are) informed that false representation herein can jeopardize protection and result in penalties.

SIGNATURE OF APPLICANT (Owner(s)) <b>Alan K. Walker</b>	CAPACITY OR TITLE <b>Director</b>	DATE <b>7-15-94</b>
SIGNATURE OF APPLICANT (Owner(s)) <b>Valarie A. Oostindie</b>	CAPACITY OR TITLE <b>Administrator</b>	DATE <b>7-22-94</b>

GENECORP, INC.  
PVP APPLICATION HT331 STS SOYBEAN  
JULY 1994

EXHIBIT A  
ORIGIN AND BREEDING HISTORY OF HT331 STS

1987	<p>Cross was made in December 1987 in a winter nursery.</p> <p>Parentage: A3935 * (A3205 * W20) W20 = Mutant of Williams with Als1 gene for tolerance to sulfonyleurea herbicides.</p>
1988-1989	<p>Grew F<sub>1</sub>, F<sub>2</sub>, and F<sub>3</sub> generations in winter nursery. F<sub>2</sub> and F<sub>3</sub> plants were sprayed with a sulfonyleurea herbicide and tolerant plants were advanced via modified single seed descent. Grew bulk F<sub>3</sub> population from which individual plants were harvested and thrashed.</p>
1989	<p>F<sub>3</sub> derived F<sub>4</sub> lines were evaluated in progeny rows in the midwest. Line C89-0061 was harvested in bulk and selected for advancement. Seeds were checked for uniform hila color and seed coat luster.</p> <p>C89-0061 was determined to be a stable line in October of 1989.</p>
1990	<p>Yield tested at three locations.</p>
	<p>Yield tested at ten locations.</p> <p>Individual F<sub>6</sub> plants were pulled in the fall of 1991 and purity rows were grown in winter nursery.</p> <p>Presence of the dominant allele, Als1, which confers tolerance to sulfonyleurea herbicides was confirmed via the "seed soak screen" as outlined by Sebastian, et al. (Crop Science 29:1403-1408.</p>
1992	<p>Yield tested at nineteen locations.</p> <p>A sub-line yield test was grown of F<sub>6</sub> derived sub-lines in the F<sub>8</sub> generation and 10 uniform sub-lines were bulked to form breeder seed and increased in winter nursery.</p>
1993	<p>Yield tested at sixteen locations.</p> <p>Foundation seed was grown in the midwest.</p> <p>C89-0061 was nominated for release and assigned the designation HT331 STS.</p>

1994	<p>Yield tested at nine locations.</p> <p>Commercial seed is being grown for the first time sales.</p> <p>Yield trials from 1990 to 1993 and seed production in 1992 and 1993 indicates that HT331 STS is uniform and stable. As with other soybean varieties, variants can occur for almost any character during the course of repeated sexual reproduction.</p>
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GENECORP, INC.  
PVP APPLICATION HT331 STS SOYBEAN  
JULY 1994

EXHIBIT B  
NOVELTY STATEMENT CONCERNING HT331 STS

To our knowledge, the soybean variety that most closely resembles HT331 STS is A3304.

	<u>HT331 STS</u>	<u>A3304</u>
1. Flower Color	White	White
2. Pubescence Color	Tawny	Tawny
3. Pod Wall Color	Tan	Tan
4. Hilum Color	Black	Black
5. Peroxidase	Low	High
6. Electrophoretic Band	Spl a	Spl b
7. Als1	Present	Present
8. Phytophthora Gene	rps	rps

U.S. DEPARTMENT OF AGRICULTURE  
 AGRICULTURAL MARKETING SERVICE  
 LIVESTOCK, MEAT, GRAIN & SEED DIVISION  
 PLANT VARIETY PROTECTION OFFICE  
 BELTSVILLE, MARYLAND 20705

EXHIBIT C  
 (Soybean)

OBJECTIVE DESCRIPTION OF VARIETY  
 SOYBEAN (*Glycine max* L.)

NAME OF APPLICANT(S) GENECORP, INC.	TEMPORARY DESIGNATION C89-0061	VARIETY NAME HT331 STS
ADDRESS (Street and No., or R.F.D. No., City, State, and Zip Code) 205 N Michigan Oxford, IN 47971		FOR OFFICIAL USE ONLY PVPO NUMBER 9500005

Choose the appropriate response which characterizes the variety in the features described below. When the number of significant digits in your answer is fewer than the number of boxes provided, place a zero in the first box when number is 9 or less (e.g.,   ). Starred characters ★ are considered fundamental to an adequate soybean variety description. Other characters should be described when information is available.

## 1. SEED SHAPE:



1 = Spherical (L/W, L/T, and T/W ratios = < 1.2)  
 3 = Elongate (L/T ratio > 1.2; T/W = < 1.2)

2 = Spherical Flattened (L/W ratio > 1.2; L/T ratio = < 1.2)  
 4 = Elongate Flattened (L/T ratio > 1.2; T/W > 1.2)

## ★ 2. SEED COAT COLOR: (Mature Seed)

1 = Yellow

2 = Green

3 = Brown

4 = Black

5 = Other (Specify) \_\_\_\_\_

## 3. SEED COAT LUSTER: (Mature Hand Shelled Seed)

1 = Dull ('Corsoy 79'; 'Braxton')

2 = Shiny ('Nebsoy'; 'Gasoy 17')

## ★ 4. SEED SIZE: (Mature Seed)

Grams per 100 seeds

## ★ 5. HILUM COLOR: (Mature Seed)

1 = Buff

2 = Yellow

3 = Brown

4 = Gray

5 = Imperfect Black

6 = Black

7 = Other (Specify) \_\_\_\_\_

## ★ 6. COTYLEDON COLOR: (Mature Seed)

1 = Yellow

2 = Green

## ★ 7. SEED PROTEIN PEROXIDASE ACTIVITY:

1 = Low

2 = High

## ★ 8. SEED PROTEIN ELECTROPHORETIC BAND:

1 = Type A (SP1<sup>a</sup>)2 = Type B (SP1<sup>b</sup>)

## ★ 9. HYPOCOTYL COLOR:

1 = Green only ('Evans'; 'Davis')

2 = Green with bronze band below cotyledons ('Woodworth'; 'Tracy')

3 = Light Purple below cotyledons ('Beeson'; 'Pickett 71')

4 = Dark Purple extending to unifoliate leaves ('Hodgson'; 'Coker Hampton 266A')

## ★ 10. LEAFLET SHAPE:

1 = Lanceolate

2 = Oval

3 = Ovate

4 = Other (Specify) \_\_\_\_\_

## 11. LEAFLET SIZE:

☐ 21 = Small ('Amsoy 71'; 'A5312')  
3 = Large ('Crawford'; 'Tracy')

2 = Medium ('Corsoy 79'; 'Gasoy 17')

## 12. LEAF COLOR:

☐ 21 = Light Green ('Weber'; 'York')  
3 = Dark Green ('Gnome'; 'Tracy')

2 = Medium Green ('Corsoy 79'; 'Braxton')

## ★ 13. FLOWER COLOR:

☐ 1

1 = White

2 = Purple

3 = White with purple throat

## ★ 14. POD COLOR:

☐ 1

1 = Tan

2 = Brown

3 = Black

## ★ 15. PLANT PUBESCENCE COLOR:

☐ 2

1 = Gray

2 = Brown (Tawny)

## 16. PLANT TYPES:

☐ 21 = Slender ('Essex'; 'Amsoy 71')  
3 = Bushy ('Gnome'; 'Govan')

2 = Intermediate ('Amcor'; 'Braxton')

## ★ 17. PLANT HABIT:

☐ 3

1 = Determinate ('Gnome'; 'Braxton')

2 = Semi-Determinate ('Will')

3 = Indeterminate ('Nebsoy'; 'Improved Pelican')

## ★ 18. MATURITY GROUP:

☐ 0

1 = 000

2 = 00

3 = 0

4 = I

5 = II

6 = III

7 = IV

8 = V

9 = VI

10 = VII

11 = VIII

12 = IX

13 = X

## ★ 19. DISEASE REACTION: (Enter 0 = Not Tested; 1 = Susceptible; 2 = Resistant)

## BACTERIAL DISEASES:

★

☐ 0Bacterial Pustule (*Xanthomonas phaseoli* var. *sojensis*)

★

☐ 0Bacterial Blight (*Pseudomonas glycinea*)

★

☐ 0Wildfire (*Pseudomonas tabaci*)

## FUNGAL DISEASES:

★

☐ 0Brown Spot (*Septoria glycines*)Frogeye Leaf Spot (*Cercospora sojae*)

★

☐ 0

Race 1

☐ 0

Race 2

☐ 0

Race 3

☐ 0

Race 4

☐ 0

Race 5

☐

Other (Specify)

☐ 0Target Spot (*Corynespora cassicola*)☐ 0Downy Mildew (*Peronospora trifoliorum* var. *manshurica*)☐ 2Powdery Mildew (*Microsphaera diffusa*)

★

☐ 1Brown Stem Rot (*Cephalosporium gregatum*)☐ 0Stem Canker (*Diaporthe phaseolorum* var. *caulivora*)

## 19. DISEASE REACTION: (Enter 0 = Not Tested; 1 = Susceptible; 2 = Resistant) (Continued)

## FUNGAL DISEASES: (Continued)

- ★ ☐ 0 Pod and Stem Blight (*Diaporthe phaseolorum* var; *sojae*)
- ☐ 0 Purple Seed Stain (*Cercospora kikuchii*)
- ☐ 0 Rhizoctonia Root Rot (*Rhizoctonia solani*)
- Phytophthora Rot (*Phytophthora megasperma* var. *sojae*)
- ★ ☐ 1 Race 1 ☐ 1 Race 2 ☐ 1 Race 3 ☐ 1 Race 4 ☐ 1 Race 5 ☐ 0 Race 6 ☐ Race 7
- ☐ 1 Race 8 ☐ 1 Race 9 ☐ Other (Specify) \_\_\_\_\_

## VIRAL DISEASES:

- ☐ 0 Bud Blight (Tobacco Ringspot Virus)
- ☐ 0 Yellow Mosaic (Bean Yellow Mosaic Virus)
- ★ ☐ 0 Cowpea Mosaic (Cowpea Chlorotic Virus)
- ☐ 0 Pod Mottle (Bean Pod Mottle Virus)
- ★ ☐ 0 Seed Mottle (Soybean Mosaic Virus)

## NEMATODE DISEASES:

- Soybean Cyst Nematode (*Heterodera glycines*)
- ★ ☐ 1 Race 1 ☐ 0 Race 2 ☐ 1 Race 3 ☐ 1 Race 4 ☐ Other (Specify) \_\_\_\_\_
- ☐ 0 Lance Nematode (*Hoplolaimus Colombus*)
- ★ ☐ 0 Southern Root Knot Nematode (*Meloidogyne incognita*)
- ★ ☐ 0 Northern Root Knot Nematode (*Meloidogyne Hapla*)
- ☐ 0 Peanut Root Knot Nematode (*Meloidogyne arenaria*)
- ☐ 0 Reniform Nematode (*Rotylenchulus reniformis*)
- ☐ 0 OTHER DISEASE NOT ON FORM (Specify): \_\_\_\_\_

## 20. PHYSIOLOGICAL RESPONSES: (Enter 0 = Not Tested; 1 = Susceptible; 2 = Resistant)

- ★ ☐ 1 Iron Chlorosis on Calcareous Soil
- ☐ Other (Specify) \_\_\_\_\_

## 21. INSECT REACTION: (Enter 0 = Not Tested; 1 = Susceptible; 2 = Resistant)

- ☐ 0 Mexican Bean Beetle (*Epilachna varivestis*)
- ☐ 0 Potato Leaf Hopper (*Empoasca fabae*)
- ☐ 0 Other (Specify) \_\_\_\_\_

## 22. INDICATE WHICH VARIETY MOST CLOSELY RESEMBLES THAT SUBMITTED.

CHARACTER	NAME OF VARIETY	CHARACTER	NAME OF VARIETY
Plant Shape	A 3304	Seed Coat Luster	A 3304
Leaf Shape	A 3304	Seed Size	A 3304
Leaf Color	A 3304	Seed Shape	A 3304
Leaf Size	A 3304	Seedling Pigmentation	A 3304



## 23. GIVE DATA FOR SUBMITTED AND SIMILAR STANDARD VARIETY: Paired Comparison Data

VARIETY	NO. OF DAYS MATURITY	PLANT LODGING SCORE	CM PLANT HEIGHT	LEAFLET SIZE		SEED CONTENT		SEED SIZE G/100 SEEDS	NO. SEEDS/ POD
				CM Width	CM Length	% Protein	% Oil		
Submitted HT331 STS	133	2.4	88	7.1	11.9	40.7	20.8	16	2.6
Name of Similar Variety A3304	133	2.3	90	7.1	12.0	40.6	21.0	16	2.7

## PUBLICATIONS USEFUL AS REFERENCE AIDS FOR COMPLETING THIS FORM:

1. Caldwell, B.E., ed. 1973. Soybeans: Improvement, Production, and Uses. Amer. Soc. Agron. Monograph No. 16.
2. Buttery, B.R. and R.I. Buzzell. 1968. Peroxidase activity in seeds of soybean varieties. Crop Sci., 8: 722-725.
3. Hymowitz, T. 1973. Electrophoretic analysis of SBTI-A<sub>2</sub> in the USDA soybean germplasm collection. Crop Sci., 13: 420-421.
4. Payne, R.C. and L.F. Morris. 1976. Differentiation of soybean cultivars by seedling pigmentation patterns. J. Seed Technol. 1: 1-19.

GENECORP, INC.  
PVP APPLICATION HT331 STS SOYBEAN  
JULY 1994

EXHIBIT D  
ADDITIONAL DESCRIPTION OF THE VARIETY

HT331 STS is a early maturity group III variety. It is a STS<sup>TM</sup> variety with the Als1 gene conferring tolerance to sulfonylurea herbicides. HT331 STS is a white flowered, tawny pubescent, black hila variety with tan pod walls, dull seed coat luster, ovate leaf shape, indeterminate plant type, low peroxidase activity, and type A seed protein electrophoretic band.



GENECORP, INC.  
PVP APPLICATION HT331 STS  
JULY 1994

EXHIBIT E  
STATEMENT OF BASIS OF APPLICANT OWNERSHIP

HT331 STS was originated and developed under the direction of Alan K. Walker. By agreement between Genecorp, Inc., all rights to any invention, discovery or development made by employees are assigned to the company. No rights of such invention, discovery or development are retained by the employee.

RECEIVED  
JUL 19 1994  
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